Questionnaire for E-Gov Presidential Initiative or Line of Business Standards Selection Process

Background:

Each E-Gov Presidential Initiative and Line of Business (LOB) requires the use of standards for deployment of innovative, web-based information technology (IT) services. Standards are required to ensure the necessary interoperability and security between government agencies, businesses, and citizens. Such standards are generally private sector, consensus-based standards. In cases where no suitable private sector standards are available, USG may develop and adopt government unique standards.

The Office of Management and Budget (OMB) has recognized the need to identify and validate appropriate and effective standards for E-Gov applications to ensure that the most effective standards are used in E-Gov applications and has assigned this responsibility to NIST. The lack of uniform, effective standards can lead to problems in internet accessibility, data compatibility, as well as national security and personal privacy concerns. NIST has established this E-Gov validation process to address such concerns and to assist federal agencies in the selection of appropriate E-Gov standards.

Instructions:

Please complete the questionnaire in its entirety and forward to:

Mary H. Saunders, Chief Standards Services Division 100 Bureau Drive, MS 2100 Gaithersburg, MD 20899-2100

Email: mary.saunders@nist.gov

Fax: 301-975-4715

If you have any questions regarding the questionnaire you can contact David Alderman on 301-975-4019 (*david.alderman@nist.gov*) or Maureen Breitenberg on 301-975-4031 (*maureen.breitenberg@nist.gov*).

- 1. Title of E-Gov Initiative or Line of Business: E-Vital
- 2. Initiative / LOB website: http://www.whitehouse.gov/omb/egov/c-2-4-evital.html
- 3. Name and contact information for responsible Project Manager: Charles Rothwell, the Department of Health and Human Services (DHHS).
- 4. Name and contact information for questions regarding standards used in this initiative/LOB (if different from above): **Brian Cronin, the Social Security Administration (SSA), Program Expert in death records.**
- 5. Describe the process that was undertaken to choose and/or develop the standards required to implement the objectives of the Initiative or LOB: The National Association for Public Health Statistics and Information Systems (NAPHSIS) is an association with members who are responsible for the vital statistics agencies in every state and in other jurisdictions throughout the United States and its territories. Please visit www.naphsis.org for more information.
- 6. When determining standards needs were consensus standards given first consideration? If not, why? Yes. However, new consensus standards will be needed as part of the Intelligence Reform and Terrorism Prevention Act (IRTPA) implementation.
- 7. Describe the process used to ensure that input from all appropriate stakeholders, public and private, was considered? E-Vital used an Electronic Death Registration (EDR) National Steering Committee with representatives of all death registration participants and stakeholders; i.e.; vital records office, funeral directors, certifying physicians, coroners and medical examiners, institutions, local registrars, local heath units, federal users, public health data users. Additionally, the public was also notified.

At the state level a similar model was used. States used various means to notify the public, which included town meetings and published changes in state law/regulation.

Under IRTPA, a draft of the regulations to govern the process goes out for comment to all stakeholders.

- 8. Please list participating federal agencies involved in selecting or developing and implementing standards for the initiative/LOB: The SSA and the DHHS. (DHHS should fill-in additional federal agencies that were consulted in the Regulation process; e.g. DHS. DOT etc.)
- 9. Please list participating state or local governments agencies involved in developing and implementing standards for the initiative/LOB: NAPHSIS served as a liaison to participating vital statistic agencies. Together they developed a Standards and Guidelines document and a requirements document that represented 80% of death registration system functionality for individual States to use to implement an EDR process in each State.

- 10. Please list participating private sector organizations involved in developing and implementing standards for the initiative/LOB: NAPHSIS and representatives from the various professional organizations that register deaths; e.g., National Funeral Directors Association, American Medical Association, National Association of Medical Examiners, etc.
- 11. Please list participating voluntary consensus standards bodies involved in developing and implementing standards for the initiative/LOB: See 10 above for information pertaining to the current EDR process. DHHS should provide this information for post IRTPA development.
- 12. Please provide a list of standards relevant to the development and implementation of the initiative/LOB HIPPA Regulations, U.S. Standard Certificate http://www.cdc.gov/nchs/data/dvs/sallspec122002, National Electronic Disease Surveillance System, Standard Vital Event Messaging (HL7- Version 3),W3C or World Wide WEB consortium, State Laws, and the National Institute of Standards and Technology Security Guidance